## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

 In re Patent Application of
 Date: Dec. 18, 2009

 Applicants: Bednorz et al.
 Docket: YO987074BZ

 Serial No.: 08/479,810
 Group Art Unit: 1751

 Filed: June 7, 1995
 Examiner: M. Kopec

Appeal No. 2009-003320

For: NEW SUPERCONDUCTIVE COMPOUNDS HAVING HIGH TRANSITION TEMPERATURE, METHODS FOR THEIR USE AND PREPARATION

Mail Stop: Appeal Brief – Patents Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

# SUPPLEMENT 2 REQUEST FOR REHEQRING UNDER 37 C.F.R. § 41.52 (a)(1) Of

Decision on Appeal dated 09/17/2009

## ATTACHMENTS

Please charge any fee necessary to enter this paper and any previous paper to deposit account 09-0468.

Respectfully submitted,

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## ATTACHMENT BP



## 4 of 13 DOCUMENTS

Special Positive
As of: Nov 14, 2009

## IN RE GORDON HENRY COOK AND PETER ARNOLD MERI-GOLD

## No. 8446

## United States Court of Customs and Patent Appeals

58 C.C.P.A. 1049; 439 F.2d 730; 1971 CCPA LEXIS 376; 169 U.S.P.Q. (BNA) 298

## Oral argument February 3, 1971 April 8, 1971

\* Petition for rehearing July 1, 1971.

PRIOR HISTORY: [\*\*\*1] APPEAL from Patent Office, Serial No. 309,208

DISPOSITION: Affirmed.

CASE SUMMARY:

PROCEDURAL POSTURE: Appellants sought judicial review of an examiner's decision which was affirmed by the Patent Office Board of Appeals, which denied their application for a patent for a type of zoom lens because they failed to meet the specification requirements of 35 U.S.C.S. § 112.

OVERVIEW: Appellants were denied a patent for zoom lens designs because under 35 USCS § 113, appellants' disclosure was not sufficiently specific and would require many

months to be carried out by a skilled designer. The examiner also found that appellants' six examples were not representative of the ranges recited in the claims and appellants had failed to give a satisfactory explanation of the origin of the range limitations. The court found the first argument without merit because appellants' disclosure was specific enough to teach those skilled in the art how to design a new zoom lens without undue effort. Regarding the second argument, the court found that appellants had failed to prove that there were embodiments to be found throughout the broader claimed ranges, and therefore the requirement of § 112 that the specifications be true and enabling had not been met.

OUTCOME: Denial of appellants' patent application was affirmed because appellants failed to show that the teachings embodied in their specifications for a new type of zoom lens were both true and enabling.

CORE TERMS: lens, inoperative, zoom, embodiment, examiner, patent, specification, assembly, lense, zoom lens, disclosure, parameters, species. focal length, broad claims, zooming, skilled, divergent, variation, movable, piston, valves, chemical, optical, invention, designer, mamerically, subject matter, timeconsuming, satisfactory.

## LexisNexis(R) Headnotes

Patent Law > Claims & Specifications > Description Requirement > General Overview Patent Law > Jurisdiction & Review > Subject Matter Jurisdiction > Appeals

[HN1] Patent claims may be too broad to the point of invalidity by reason of reading on significant numbers of inoperative embodiments.

Patent Law > Claims & Specifications > Description Requirement > General Overview Patent Law > Jurisdiction & Review > Subject Matter Jurisdiction > Appeals

[HN2] The court sees no reason why the Patent Office as well as the courts deciding infringement litigation should not have authority to reject a broad claim merely because it reads on a significant number of inoperative species.

Patent Law > Claims & Specifications > Description Requirement > General Overview Patent Law > Jurisdiction & Review > Subject Matter Jurisdiction > Appeals

IIN3] While the court has held that the mere possibility of inclusion of inoperative subject matter does not prevent allowance of broad claims, when the examiner sets forth reasonable grounds in support of his conclusion that an applicant's claims may read on inoperative subject matter, it becomes incumbent upon the applicant either to reasonably limit his claims to the approximate area where operativeness has not been challenged or to rebut the examiner's challenge either by the submission of representative evidence or by persuasive arguments based on known laws of physics and chemistry.

Patent Law > Claims & Specifications > Euablement Requirement > General Overview Patent Law > Jurisdiction & Review > Subject Matter Jurisdiction > Appeals

Patent Law > Nonobviousness > Elements & Tests > Ordinary Skill Standard

[HN4] Many patented claims read on vast numbers of inoperative embodiments in the trivial sense that they can and do omit factors which must be presumed to be within the level of ordinary skill in the art, and therefore read on embodiments in which such factors may be included in such a manner as to make the embodiments inoperative. There is nothing wrong with this so long as it would be obvious to one of ordinary skill in the relevant art how to include those factors in such manner as to make the embodiment operative rather than inoperative

Patent Law > Claims & Specifications > Description Requirement > General Overview Fatent Law > Jurisdiction & Review > Subject Matter Jurisdiction > Appeals

IHNS] The word "obvious" under 35 U.S.C.S. § 112 means that those skilled in the art would know how to determine utility without having to build and try out the conceived embodiment and could do so without the expenditure of unreasonable effort.

Patent Law > Claims & Specifications > Description Requirement > General Overview Patent Law > Jurisdiction & Review > Subject Matter Jurisdiction > Appeals

## 58 C.C.P.A. 1849, \*; 439 F.2d 730, \*\*; 1971 CCPA LEXIS 376, \*\*\*; 169 U.S.P.Q. (BNA) 298

Patent Law > U.S. Patent & Trademark Office Proceedings > Appeals

[HN6] 35 U.S.C.S. § 112 requires not that the specifications merely say how to use the claimed invention, but that such teaching be true, i.e., in fact enabling.

COUNSEL: Holcombe, Wetherill & Brisebois, attorneys of record, for appollant,

Joseph F. Brisebois, John A. Feketix, of counsel.

S. Wm. Cochran for the Commissioner of Patents. R. V. Lupo, of counsel.

## OPINION BY: RICH

## OPINION

[\*\*730] [\*1049] Before RICH. AL-MOND, BALDWIN, LANE, Associate Judges, and FORD, Judge, sitting by designation

RICH, Judge, delivered the opinion of the court.

[\*1050] This appeal is from the decision of the Patent Office Board of Appeals affirming the examiner's rejection of claims 1-27 in appellants' application serial No. 309,208, filed September 16, 1963, for "Optical Objectives of Variable Equivalent Focal Length Flaving Two Divergent [\*\*731] Members For Zooming Punnses," We offirm.

## The Invention

The rejected claims are for an allegedly improved version of a particular kind of "optical objective of the 'zoom' type," In common parlance, an optical objective is called a lens. A "zoom" lens assembly is one in which the focal length, and consequently the image size as seen from a fixed position, can be varied continuously by movement of certain lens elements [\*\*\*2] to very the scale of the image without loss of focus. The zoom lenses involved here have four optical members, the outer one of which is axially movable for focusing purposes but stationary during zooming, the middle two of which are axially movable to produce the zooming effect, and the innermost one of which is stationary. Such lens assemblies are extremely complex from the ontical design standpoint: the six examples set forth in appellant's specification are each characterized by over one hundred related parameters. The rejected claims recite certain relationships among a relatively small number of these parameters, the stated purpose of which is to extend the range over which the scale of the image provided by the lens assembly, i.e., the equivalent focal length, can be varied without experiencing an unacceptably high degree of image distortion at any point in the range.

According to appellants' brief, "designers have been beretofore unable to design a lens having both adequate correctional properties and a zooming range in excess of about six times its minimum focal length." Appellants' examples, the operativeness of which has not been challenged, are of lens assemblies in which the zooming range is ten to one. There is no evidence in the record to support the assertion that a range of over six to one has not heretofore been possible, but whether or not it is true is unimportant. No prior art being relied on, appellants had no need of recourse to objective indicia of nonobviousness. Whether or not their application teaches how to make a better zoom lense is irrelevant to the issue before us.

[\*\*\*3] Claim 1 is illustrative (subparagraphing and emphasis supplied):

1. An optical objective of the zoom type (that is of the type having relatively movable members whereby the equivalent focal length of the objective can be commously varied throughout a range, whilst maintaining constant position of the image plane), corrected for spherical and chromatic aberrations, coma, astigmatism, field curvature and distortion, and

## comprising

a convergent first member which for a given object distance remains stationary during the zooming relative movements.

an axially movable divergent second member behind the first member having equivalent focal length fi lying mmerically between 4 and 8 times the minimum value of the ratio of the equivalent focal length of [\*1051] the complete objective to the fnumber of the objective in the range of variation.

an axially movable divergent third member behind the second member having equivalent focal length f(c) lying numerically between S and 10 times the minimum value of such ratio.

a stationary convergent fourth member behind the third member,

a zoom control element, and

means whereby operation of the zoom control element causes [\*\*\*4] the zooming relative movements to be effected.

wherein

the total axial movement of the second member in the range of variation lies numerically between 1.5f(B) and 2.5f(B) and

the total axial movement of the third member in the range lies numerically between 0.25(c) and 0.5f(C),

[\*\*732] the minimum axial separation between the second and third members occurring when the equivalent focal length of the objective is greater than half its maximum value in the range of variation.

the movable divergent second member consisting of a divergent simple meniscus component with its surfaces convex to the front and a divergent compound component behind such simple component, and

the movable divergent third member consisting of a doublet component having its front surface concave to the front with radius of curvature lying numerically between 0.5f(C) and 1.0f(C).

## The Rejection

There is no rejection on prior art. The examiner rejected all of appellants' claims under both the first and second paragraphs of 35 USC 112. The board affirmed both rejections, However, at oral argument the solicitor for the Patent Office, noting that the rejections on the secand paragraph of § 112 [\*\*\*5] were "prior to the court's decisions in Robins [In re Robins, 57 CCPA 1321, 429 F.2d 452, 166 USPO 552 (1970)] and predecessor cases [presumably, In re Borkowski, 57 CCPA 946, 422 F.2d 904. 164 USPQ 642 (1970), In re Halleck, 57 CCPA 954, 422 F.2d 911. 164 USPO 647 (1970), and In re Wakefield, 57 CCPA 959, 422 F.2d 897, 164 USPO 636 (1970)]," stated that "Today we may consider the Office's position \* \* \* under paragraph one completely." In view of this statement, we reverse the rejection under the second paragraph of 35 USC 112 on the basis of the above-cited cases

Two distinct rationales are apparent in the rejection below under the first paragraph of § 172. First, appellants disclosure was said to be insufficient because it would require many months for a skilled lens designer, working with the aid of a computer, to design, within the ambit of the claims, a satisfactory zoon lens assembly other than the six specifically disclosure was said not to support their claims because their six examples are not representative of the ranges recited in the claims and, when challenged, appellants

[\*1052] did not give a satisfactory explanation of [\*\*\*6] the origin of the range limitations in the claims. We will discuss these two rationales in turn.

## Opinion

A. Difficulty of designing an operative em-

It seems to have been agreed by all concerned that the design of commercially satisfactory zoom lenses of the kind involved here (i.e., four-member zoom lenses) is an extremely complex and time-consuming operation, even with the aid of modern computer techniques. Thus, quite apart from appellants' teachings, it would take a lens designer setting out to design a new zoom lens of this type many months, or even years, to come up with a marketable lens assembly possessing all the desired characteristics.

Appellants do not purport to have solved all of the time-consuming problems involved in the design of a new lens; indeed, to the extent that their relationships add new calculations to the design of zoom lenses, they may even have increased the time required. What they do claim to have done is to have discovered a simple set of relationships among some of the fundamental parameters involved in the design of zoom lenses which, if respected, will result in zoom lens assemblies which will be capable of zooming through a wider [\*\*\*7] range than

previous zoom lenses without experiencing an unacceptably high degree of image distortion at any point in their ranges of equivalent focal length variation. They are thus, it seems to us, somewhat in the position of a suspensionbridge builder who has discovered that maintaining certain relationships between the height above the roadway of the main piers and the distance between the peirs will result in bridges of substantially increased strength. Disclosure [\*\*733] by the bridge builder of this relationship would certainly not solve all the timeconsuming problems of bridge designing or building, but it would, we think, enable any person skilled in the art to practice the invention. Similarly, we feel that, while appellants' disclosure has not taught those skilled in the art how to design an entire new zoom lens in short order, it has taught those skilled in the art how to design a new zoom lens of the type here claimed without undue effort. The rejection therefore cannot be sustained on this rationale.

# B. Support for the range limitations in the claims

The second problem, however, is more difficult. Appellants disclose six specific examples of lens assemblies [\*\*\*8] embodying their invention, but they have claimed their invention in terms of broad ranges within which various parameters shall fall, which include but also go far beyond the specific examples. The examiner challenged the breadth of

[\*1053] appellants' ranges, asking, "How could there be any lens design significance for all the values that can be chosen within the various broad ranges?" and demanding "Additional explanation \* \* \* to explain the breadth of the ranges." As far as we can determine from the abbreviated record in this case, appellants never provided such "Additional explanation," \* contenting themselves with unsupported assertions, as quoted in the final action, that the range limitations

2 The closest they seem to have come to explaining the origin of the ranges in their application is the statement, contained in their Request for Reconsideration of the brand's decision, that

"[Appellants?] has [have?] in his [their?] possession a stack of paper three feet thick covered with calculations which resulted in the definition of the ranges set forth in the specification."

"\*\* \* \* cooperate with one another to form a complete combination, such that sufficiently [\*\*\*9] good results are achieved, for all values within the specified ranges of variation for individual parameters, to produce the desired improvement over known objectives, provided of course that the designer makes appropriate use where necessary of the store of common general knowledge which all experts have."

On that record, the board affirmed the examiner's rejection "for substantially the reasons stated by the Examiner," but made an additional point by noting that We consider the reasons which prompt the denial of broad claims to a chemical compound or a chemical process that is based on a single disclosed example are more than applicable here since few chemical processes or compounds involve as many parameters or as a high degree [as high a degree?] of precision as are evidenced in the case of the design of a complex lens and the predictability of securing the wanted results are much less than would be present in most chemical reactions.

Appellants rely on this court's decision in In re Vickers, 31 CCPA 985, 141 F.2d 322, 61 USPO 122 (1944), reversing the rejection of claims in a mechanical case reading on oil well pumping apparatus in which two valves were actuated by a [\*\*\*10] single piston although appellants' specification disclosed actuation of the two valves by different pistons. The examiner had stated that "it \* \* \* | was| not immediately clear" to him bow both valves could be actuated by a single piston and that "applicants \* \* \* [had] not shown how to do it." The board affirmed, stating (as paraphrased in the opinion of this court) that "an entirely different and unobvious construction from that shown in appellants' drawings and specification would be neeessary in order to control the valves by a single piston." This court stated that it was "unable to concur in the view of the solicitor that appellant's specification does not suggest that \* \* \* (both valves) could be operated [\*\*734] by a single piston." The court found that "it is plainly suggested in appellants' specification that the accumulator piston alone may operate the valves for the purposes set forth in the appealed claims" and apparently

[\*1054] accepted the explanation offered by counsel for appellants in their brief of the "obvious" manner in which this result could be achieved

However, the opinion in Vickers does not stop there. It continues, noting but not answering | \*\*\* | 11 "the question raised by counsel for appellants as to whether the tribunals of the Parent Office have authority to reject a broad claim merely because it may cover one or more inoperative species," but concluding that, even if they had such authority, the borden was on the Patent Office "to show that such a claim covers an inoperative species, and not upon the applicant to show that it does not." Clearly, since it had already held the single-piston valve-actuating structure an obvious variation of the disclosed two-piston valve-actuating structure, the court was of the view that the Patent Office had not carried this burden. Accordingly, it held that appellants had supported their broad claims by their disclosure of a sinale form of the claimed apparatus.

Vickers is cited in the Manual of Patent Examining Procedure, § 706.03(Z), for the proposition that "In mechanical cases, broad claims may properly be supported by a single form of an apparatus or structure." This statement is then contrasted with the rule "In chemical cases" that "the disclosure of a single species

usually does not provide an adequate basis to support generic claims \* \* \* because in chemistry it is not obvious [\*\*\*12] from the disclosure of one species, what other species will work." This dichotomy, which we would prefer to see denominated a dichotomy between predictable and unpredictable factors in any art rather than between "mechanical cases" and "chemical cases," has been at the heart of much of the argument here, appellants contending that they are entitled to their broad claims by virtue of a single operative example because this is a "mechanical case" while the solicitor contends that appellants are entitled only to claims reading on their disclosed embodiments and obviously operative variations thereof.

[1] Preliminarily, it should be said that we regard the "question raised by counsel" and left open by this court in the Vickers case, as to the authority of the Patent Office to reject broad claims merely because they read on one or more inoperative species, as having been answered generally in the affirmative by subsequent cases. In 1949 the Supreme Court held that [HN1] claims may be too broad "to the point of invalidity" by reason of reading on significant numbers of inoperative embodiments. Graver Tank & Mfg. Co. v. Linde Air Products Co., 336 U.S. 271, 276-77, 80 USPQ 451, 453 [1949] [\*\*\*\*13] (claims reading on all

[\*1055] "silicates" or all "metallic silicates" when only nine metallic silicates "had been proved operative"). [HN2] We see no reason why the Patent Office as well as the courts deciding intringement litigation should not "have authority to reject a broad claim merely because it \* \* \* [reads on a significant number of] inoperative species. ".

> 3 See also Goodman, "The Invalidation of Generic Claims by Inclusion of a Small Number of Inoperative Species," 40 JPOS 745 (1958), and Einhorn, "The Enforceability of Patent Claims Encompassing Some Inoperative Species," 45 JPOS 716 (1963). It should be noted that both Goodman and Einhorn focus on claims litigated in infringement actions. where equitable considerations may be present which are not present during the prosecution of patent applications, since an applicant is still in a position to amend his claims to exclude inoperative subject matter, Cf. In re Proter, 56 CCPA 1381, 1396, 415 F.2d 1393, 1404-5, 162 USPO 541, 550-1 (1969), and in re Harwood, 55 CCPA 922, 926-7, 390 F.2d 985, 989, 136 USPQ 673, 676 (1968).

> a [2] [HN3] While we have held that "the mere possibility of inclusion of inoperative \* \* \* | subject matter] does not prevent allowance of broad claims," In re Surea, 51 CCPA 1180, 1199, 327 F.2d 1005, 1019, 140 USPQ 474, 486 (1964), when the examiner sets forth reasonable grounds in support of his conclusion that an applicant's claims may read on inoperative subject matter (other than subject matter inoperative only in the sense of In re Skrivan, discussed infra), it becomes incumbent upon the applicant either to reasonably limit his claims to the ap

proximate area where operativeness has not been challenged or to rebut the examiner's challenge either by the aubmission of representative evidence, In re Harwood, supra, at 926, 390 F2d at 989, 156 USPQ at 676, or by persuasive arguments based on known laws of physics and chemistry, In re Chilowsky, 43 CCPA 775, 782, 239 F2d 457, 462, 108 USPQ 321, 325 (1956), and In re Vickers, supra.

[\*\*\*14] [\*\*735] However, [HN4] many natented claims read on vast numbers of inoperative embodiments in the trivial sense that they can and do omit "factors which must be presumed to be within the level of ordinary skill in the art," In re Skrivan, 57 CCPA 1201, 427 F.2d 801, 806, 166 USPO 85, 88 (1970). and therefore read on embodiments in which such factors may be included in such a manner as to make the embodiments inoperative. There is nothing wrong with this so long as it would be obvious to one of ordinary skill in the relevant art how to include those factors in such manner as to make the embodiment operative rather than inoperative. Ibid. See also Goodman, op. cit. note 3 at 748, and Einhorn, op. cit. note 3 at 719.

In this case appellants do not contend that every four-member lens assembly in which the specified parameters and parametric relation ships are kept within the recited ranges will be "useful" as a zoom lens in the sense of 35 USC 101, nor that the specification teaches "bow to use" those lens assemblies within the claims which are not "oseful" as zoom lenses. What appellants contend is that certain of such four-member lens assemblies will be useful as zoom lenses [\*\*\*15] (indeed, that they will be superior in at least one sense to prior-arr zoom lenses)

|\*1056| and that it would be obvious to those skilled in lens design whether a given embodiment within the indicated ranges, once conceived, would or would not be useful as a zoom lens. Compare In re Fisher, 57 CCPA 1099, 427 F.2d 833, 166 USPO 18 (1970). IHN51 The word "obvious" as here used means that those skilled in the art would know how to determine utility without having to build and ity out the conceived embodiment and could do so without the expenditure of unreasonable effort. Cf. In re. Vickers, supra (operability of simile-piston device "obvious" from theoretical considerations unsupported - but unrebutted by actual construction). Of course, given the complexities of zoom lens design, the determination, while routine, could be very timeconsuming.

> 5 See Janicke, Patent Disclosure - Some Problems and Current Developments, Part II, "Undue Breadth as a Disclosure Problem," 52 JPOS 757 (1970), concerning the relationship between lack of § 101 utility and failure of the specification to teach "how to use" as required by § 112.

As far as appellants' arguments go, they are present as a pellants' claims are not too froad "to the point of invalidity" just because they read on even a very large number of inoperative embodiments, since it seems to be conceded that a person skilled in the relevant art could determine which conceived but not-yet-fabricated embodiments would be inoperative with expenditure of no more effort than is normally required.

of a lens designer checking out a proposed set of parameters. In that sense, our reasoning here is similar to that which led us to reject the board's first rationale for its rejection under the first paragraph of § 112.

However, appellants' arguments do not reach the heart of the board's second rationale. which, as we understand it, is that appellants. having been challenged to do so by the examincr. failed to demonstrate that the ranges of parameters and parametric relationships recited in the claims reasonably bound the area within which satisfactory zoom lenses could be produced by ordinary design skill. The examiner in effect, and reasonably in our estimation. challenged appellants to prove that there were embodiments to be found, not only near the six [\*\*736] specifically disclosed examples, but \*\*\*171 at various points throughout the broader claimed ranges, which would be operative. Appellants asserted that they had made "calculations which resulted in the definition of the ranges set forth in the specification," but they never produced those calculations to subsummate the truthfulness of the teaching in their specification which the examiner challenged. 137 Section 112 [HN6] requires not that the specifications merely say how to use the claimed invention, but that such teaching be true, i.e., in fact enabling. Appellants having failed to establish the truthfulness of their assertions about the validity of their ranges when reasonably challenged to do so by the examiner, we hold that the Patent Office properly rejected the appealed claims. The decision of the board is affirmed.

## ATTACHMENT BQ

OR 3.736.048

## Unite

(45) May 29, 1973

## Cook et

SUBSTITUTE FOR MISSING OR

## (54) OPTICAL OBJECTIVES OF VARIABLE EQUIVALENT FOCAL LENGTH

- [75] Inventors Gordon Heavy Cook, Oadby, England; Peter Arould Merigold, Prestatyn, Wales
- [73] Amignes: The Bank Organization Limited, Lundon, England
- 122: Filed: June 11, 1971
- (21) Appl. No.: 152,284

## Related U.S. Application Data

- [63] Continuation-n-part of Ser. No. 109,268, Sept. 16, 1983, abandoned.

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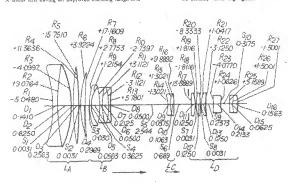
Primary Examiner -- John K. Corbin Asserte -- Holcombe, Wetherill & Biskeboss

#### 7) ABSTRACT

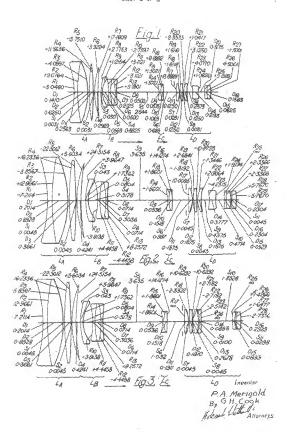
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comprising a convergent first member which for a given object distance remains stationary during the zooming relative movements, an axially movable diversent second member behind the first member having equivalent focal length fo lying numerically between 4 and 8 times the minimum value of the ratio of the equivalent focal length of the complete objective to the f-sumber of the objective in the rungs of variation, an axially movable divergent third member behind the second member having equivalent local length fo lying numerically between 5 and 10 times the minimum value of such catio, a stationary convergent fourth member behind the third member, a zoom contrai element, and means whereby operation of the zoons control element causes the zooming relative movements to be effected, wherein the total axial movement of the second member in the range of variation lies numerically between 1.5fe and 2.5fe and the total axial movement of the third member in the range ties numerically between 0.25fc and 0.5fc, the minimum axial separation between the second and third members occurring when the equivalent focal length of the object is greater than half its maximum value in the range of variation, the movable divergent second member consisting of a divergent simple menisous component with its surfaces convex to the from and a divergent compound component behind such simple component, and the movable divergent third member consisting of a doublet component having its front surface concave to the front with radius of ourvaince lying numerically between 0.5fc and 1.0fc.

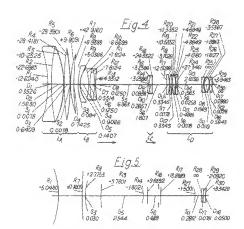
#### 22 Claims, 7 Brawing Figures

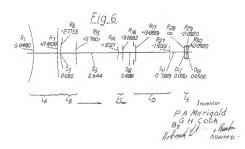


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SHEET 2 OF 3

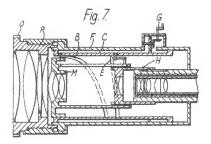




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SHEET 3 OF 3



## OPTICAL OBJECTIVES OF VARIABLE EQUIVALENT FOCAL LENGTH

This application is a continuation-in-part of our prior application Ser. No. 309,208, filed Sept. 16, 1963, now Sanohoned

This inventors relates to an optical objective of the 'ariom' type, that is of the type having relatively movable members whereby under the control of a zoom control element the enurvalent local length of the obective can be continuously varied throughout a range, 10 the equivalent focal length of the objective to the fwhilst maintaining constant position of the image plane, whereby the scale of the image can be varied, the objective being corrected for spherical and chromand abordation, come, astigmatism, field curvature and distortion. In this type of objective, accommoda- 15 tup for change of object position is usually achieved by magrons a movement, independent of the rooming reintive movements, to the from member of the obisc-

Many difficulties arise in the design of such object 20 tives, and one of the problems facing designers of triday is to achieve an increased range of variation of equivaignt front length and, where possible, also an increased angular field of view Attempts to achieve this have able members in the objective of order to make it possihis to mabilize the abercations throughout the range of variation, such stabilized aberrations then being compensated in a stationary rear member of the objective which also surves to locate the resultant image plane in 30 the ranges specified in that paragraph. a convenient position. This in turn involves the use of selutively large and heavy movable members and not only processes the bulk and size of the complete objective, but also presents severe mechanical problems in controlling the mavements, especially brating in mind 35 that at least one of the movable members must necessanty nerform a movement bearing a non-linear ralationship to the movement of the zoom control element. Many attempts to extend the range of variation of the equivalent focal length have failed, hecause they have demanded departures from unearity of movement which are impracticable mechanically, and often too because they have involved an increase in the bulk and size of the objective to unmanageable proportions or have introduced too severe optical difficulties in 45 achieving aberration correction.

One was of raducing the mechanical complexities is so to atrange the system that the front member does not participate in the zooming movements for varying to the equivalent focal length, so that this member is concerned only with focussing movements and is relieved of the complication of superimposing focusing movements on sooming grovements. Such an arrangement is arihand in the present invention, wherein the primary 55 onject is to provide an improved arrangement of the movable morning system of the objective, which can be employed with various different arrangements of the frost member and will cooperate therewith to enable aberration subdies to be achieved throughout a widely an extended range of variation of the equivalent focal length of the objective.

## ERIEF SUMMARY OF THE INVENTION

the present invention has four members of which the first (counting from the front) for a given object distance remains stationary during the comming relative movements, the second and third are divergent and, movable, and the fourth is convergent and stationary, the minimum senaration between the second and third members occurring when the equivalent focal length of the objective is greater than half its meximum value in the rames of variation, whilst the equivalent local lengths fa and fo respectively of the movable second and third members he numerically respectively between 4 and 8 times the minimum value of the catio of number of the objective in the range of variation and between 5 and 10 times such minimum ratio, the divergent movable second member consisting of a divergent simple meniscus component with its surfaces convex to the front followed by a divergent compound composent and performing during the range of variation a total axial movement lying numerically between i Me and 2.5 /s, whilst the divergent mousible third member consists of a doublet component having a forest surface concave to the front with radius of curvature lying numerically between 0.56- and 1.06- and performs during the range of variation a total axial movement lying numerically between 0.25fr and 0.5fe.

Several specific examples of optical objectives as usually signified the use of relatively counticated may. 25 above described will be given later on in this specification, and a table will be found after the first exemple. together with an accompanying explanation showing the effect of varying those parameters for which ranges of variation are given in the preceding paragraph within.

it is to be understood that the terms "front" and "roat", as used herein, relate respectively to the sides of the objective nearer to and further from the longer conjugate in accordance with the usual convention.

in addition, the term "total axial movement" is used to refer to the total distance moved by a member during sooming from one end of the range to the other, independently of the direction of movement. Thus, is member may move forward and then back duving the range of variation, and in this case the total axial movement is the numerical sum of the forward distance moved plus the seasward distance moved.

is should also be made clear that the term "internal contact", when used in connection with a compound component, is insended to include, not only a camented contact, but also what is commonly known as a "broken contact", that is one in which the two contacting surfaces have slightly different radii of carvature, the effective radius of curvature of such a broken contact being the arithmetic mean between the radii of curvitwo of the individual contactine surfaces, whilst the optical power of the broken contact is the harmonic mean between the optical powers of the individual contactina surfaces

The characteristics of the provable second and third members above specified contribute towards keeping the overall dimensions of the objective as small as possible and achieving the hest compromise between the diameters and the relative apertures of the individual members of the objective, and also permit the front nodal points of the second and third members to be incuted as far forward as possible, thus making it possible, not unly to accommodate the desired movements The optical objective of the room type according to 65 of the members without risk of feuling between the members and with minimum increase in the overall length of the objective, but also to achieve a good compromise between the diameters and relative apertures of the individual monthers, and at the same time to assist towards the desired stabilization of the abertations, experially of spherical abertation and comm, throughout a widely extended range of variation of the equivation focal tength of the objective.

## PERTHER FEATURES OF THE INVENTION

The compiumit component in the divergent needable account member preferably includes at least one community made of interests whose Abbe V numbers differ from may compite a front meniscus doublet component made of interests whose Abbe V numbers differ from mix standard where than 25, thus permitting such sections of member to be individually corrected for chromatic standard or the component having dispersive optimization.

For instating towards stabilization of antigmatism and 15 where f, indirection, the radius of clusterate of the front surface of the sample menistus component of the second member preferable the numerically between 1.5fg, and 3fg, and 4fg the resultance to wards stabilization of imagements are to the considered by arranging for the radius of cur- to waters of the rear surface of such component to the numerically between 0.66fg, and 1.96. B.

The control of the rear surface of such component to the numerically between 0.66fg, and 1.96. B.

The control of the rear surface of such component to the numerically between 0.66fg, and 1.96. B.

The front surface of the compound component of the second member is preferably conducte to the front with 25 med 37, the same utface of such component being convex in the front with action of curvature lying numerically between 1.5f, and 37, thus asset of such component being convex in the front with addust of curvature lying numerically between 3f, and 4f, thus assesting towards stabilities action of spiecies alterations and community.

Withis arch component camponent may consist of a violenter component, it will usually be preferable for it to be in the form of a triplet component beauty of component beauty of the component beauty of usually materials. This, is view of the faminest availability of usually mentacines 35 for the various elements, facilities correction of three materials and the desired stabilitation of the other shortastions without excessive curvature of the individual warraces.

The avoidance of excessive surface curvatures can adability as a surface curvature can be a such be assisted by employing for all the elements of the second member materials whose mean cofferive indicate are greater than 1.65, whilst the mean refractive indices of the materials of the elements of the composinal component in such surcher for an at differ from one assistently more than 0.15. The arithmetic mean between the Abis-V surthests of the materials of the divergent elements in the second member preferably exceeds that of the correspond element or elements by at less 125, in order to assist in correcting such member for chro- 50 material elements.

The doublet component constituting the divergent movable thrite member preferably has a collective internal contact contact to the frost with radius of curvature bying numerically between 0.5 fg and fg. the difference between the enema effective indicate of the material of the two elements of such component bying between 0.9 and 6.15, whilst the difference between the Aubo V numbers of such materials exceeds 25. These of the contact of the contact of the special contact of the special contact of the special abstration and command the scaletists individual correction of the third member for chromatic abstractions.

As in the case of the second member, it is preferable as the case of the second member, it is preferable to implie materials for the elsaments of the third member having mean refractive indices greater than 1.65, in order to avoid excassive nurface curvatures and thus

facilitate the attainment of a wide relative aperture for the member

A movable system arranged in the manner above described in accordance with the present invention is suitable for use with various different arrangements of the first member of the objective, but it is aspecially advantageous for such member to have one or more of the fishowing characteristics.

A. The first member is preferably convergent and, may comprise a front memberical doubles from members with its frunt and rear surfaces conceive to the front followed by issue simple convergent components, the front surface of the doubles component having dispersive opicial power pring numerically between 0.5% (and 1.0%, where ft, is the equivalent focal length of the first member to be fast to the rear and preferably behind the raws wastened on the member to be fast to the rear and preferably behind the raws wastened in the member to the fast of the first member to the fast of the rear and preferably behind the raws wastened in the member to the fast of the rear and preferably behind the raw surface of the member, for cooperation with the forwardly located front ordial point of the second member.

B. The internal contact of the meniscus doublet component of the first member may be dispersive and convex to the front, with radius of curvature between 1.5ft, and 3ft, the difference between the mean plrastic in discount of the vision commonent of such doublet component being greater than 6.3.5. These Desurves contribute towards stabilization of spherical absertation and assignation over the desired focusing range to issi different object distances.

C. The two simple components of the first member may together have a combined equivalent focial length heriween 0.75f, and 1.25f,, their front surfaces each letter of the first surface of the first of such simple components being faste that five the first surface of the first of such simple components being fast than 4f, and greater than twice the radius of curvature of the front surface of the second of such simple components, which latter cashs of curvaturing in turn be greater than 9.75f, if fless features assist towards stabilizing the aberrations, expensively apharical aberration and astignation, not only throughout the range of focusing adjustments, but also throughout the range of focusing adjustments, but also throughout the range of focusing adjustments, but also throughout the range of focusing adjustments.

D. The rear surface of the rear camponent of the first whomen may be convey to the front with radius of curvature between 2/2 and 1/2. This feature contributes towards stabilization of primary assignation throughout the range of focusing adjustments, and six of primary and higher order astignation throughout the range of waitation of equivalent focal length.

B. The axial shickness of the meansant doublet component of the first member may be less than 0.25%, and greater than the sam of the axial thicknesses of the two simple components thereof, such sum in turn boning greater than 0.07%. These features contributes towards the desired rearward bocation of the rear nodal ratio of the first member.

E. The arithmetic mean hawcan the Abbe V numbers of the material of the fine convergent elements of the first member may second by at least 20 the Abou V humber of the material of the divergent front element of the marisans double component of sich man-ber, thus flucilitating individual correction of the first member for chromatic abservation.

6 The equivalent local length f<sub>c</sub> of the flest member may lie between 1.2 and 2.4 times the maximim value of the ratio of the equivalent focal length of the objective to the f-number of the objective. This feature assists towards keeping the overall dimensions of the obsecure and also the relative aperture of the first mostber as small as possible.

H. If desired, an achrimatic doublet component may be provided, which can be placed at will belend the 3 year member of the objective to increase the value of the equivalent focal length of the objective by a chasses ratio throughout the range of variation.

In all the arrangements according to the present invention, it is preferable for the its dispuragm of the ob- 10 jective to be stationary and to be located behind the movable thad member of the objective

## DESCRIPTION OF EMBODIMENTS

Some convenient oractical examples of soom object 13 tive according to the invention are illustrated diagrammaterativ is the accompanying drawings, in which

FIGS. 1 - 4 respectively illustrate four examples (FIG. 4 being on half the scale of PIGS, 1 - 3),

FIGS 5 - 6 show the example of FIG. 1 (in skeleton 29 form) modified by the addition respectively of two alterautive communitions of achromatic doublet componest detachably mounted behind the rear member of the observe, and

PIG. 7 is an axial section through a lens stount having 23 suitable 200m compol element for use in carrying out the invention

Numerical data for those six examples are given in the following tables (numbered correspondingly to the figures of the drawings), in which K., R. . . . designate 30 the radii of curvature of the individual surfaces of the abjective counting from the frost, the positive sign indicuting that the surface is convex to the front and the negative sign that it is concave thereto, D. D. . . . designate the axial shicknesses of the individual elements of 35 the objective, and S<sub>1</sub>, S<sub>2</sub> ... designate the axial sursepacasings between the components of the objective. The tables also give the mean refractive indices ng for the d-line of the spectrum and the Abbe V numbers of the materials from which the various elements of the objecrive are made, and in addition the clear dismesers of the various surfaces of the objective.

The second section of each table gives the values of the three variable axial air separations between the four mambers of the objective for a number of representatire positions, for which the corresponding values of the equivalent focul length F of the complete objective from its missionum value F, to its maximum value F, are also given, together with the corresponding values of so ine F

Some of the tables also have a third section giving the equation defining an axial section through an aspheric surface provided in the stationary tear mumber of the opporture, the radius of curvature given for such surface of the first section of the table being the radius of curvalues at the vertex of the surface.

The dimensions in each table are given in terms of P. The insertion of equals (") righs is the radius columm of the tables, in company with plus (+) and minus (ii) of this type will be given subsequently. ( ) siggs which indicate whether the surface is convex or concave to the from: is for conformity with the usual Patent Office custom, and it is to be understood that these signs are not to be interpreted whally in their marhematical significance. This sign convention agrees 40 with the mathematical sign convention required for the computation of some of the aberrations including the primary aberrations, but different mathematical sign

conventions are required for other purposes stcluding computation of some of the secondary sherostions, so that a radius indicated for example as positive to the tables may have to be trusted as augative for some calculations as is well understood in the art.

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Equation for aspheric surface Rea

The foregoing Example describes a complete thick lens design, with values calculated in many cases to the fourth decimal place, and several additional Examples

It is, however, obviously impractical to provide such fully calculated thick less designs for values broadly distributed throughout the previously specified ranges for all the significant parameters.

However, in order to show the effect of altering the principal parameters within the ranges specified for three parameters, and demonstrate the practicality of designing tennes having parameter values near the extremes of the specified tanger, an illustrative table is pives below. The parameters given are all thin lens narameters (parameters of the thin lenn construction on which Example I is based) and the effects of these paramster variations are shown on the dimensions of the 5 overall observe and the relative apertures (A numbers) of the first three members.

in the following table:

P, is the focal length of the second member,

F, is the focal length of the third member

The is the total axial movement of the second member: I, is the total axial movement of the third member; R is the minimum value of the catio of the focal

length of the complete objective to us f-number; i, is the oversit length from the front of the objective

to the focal plane: D is the maximum diameter at the from of the objec-

Fat is the relative aperture of the first member: Was is the relative aperture of the second member;

Fix is the relative operate of the third member.

The four critical thin lens parameters set forth in the fifth paragraph of this specification and in the main 15 claim are Fa Fc, Ta, and Tc, and their values for Exampie I are shown in line I of the table. In line 2, Fa is put equal to the lower limit (4R) of the main claim, and in tine I cause to the upper limit (8R), in times 4 and 5 F. munner in lines 6 and 7 and lines 8 and 9. It is not possible to sary the four parameters completely indupendently of one another (this is referred to again later), and in fact when one parameter is set to an and limit. hie as that the range of variation of focal length remains approximately unchanged

Line 3 shows the affect of putting Fy to its upper limit. Conversely, from the changes in L. U. Fan, Fan and Fas, it can be seen that such a modified thin lens construction would be suitable for development of a final objective of relatively simple construction constructed to cover relatively large image format dimensions (at which scale high complexity would not be permissible) at a smaller relative uperture than Example 1. Lines 4 and 5 show identical offects achievable by merting P, at its lower and upper limits.

Line 5 shows the effect of putting the total axial movement of the second member at its upper limit, in fact, in order to do this, it is necessary to put at least either Fg or Fr at or near its end time. This is dictated by the fundamental laws of outlos, also bearing in mind the requirement to keep the focal range roughly the same. However, the effect is now not quite the same as in lines 2 to 5, because one axial movement now also 10 lits at its end limit. Thus, the change in 1, and D from Example I is reduced, while the relative aperture of one member (the third member) is increased but the other two are reduced. Lines 7 to 9 show similar effects; in extent from Example I, as also are Pay, Fac and Fac Reverting to line 6 in particular, this modification is suited

to a moderately smell but not extremely small dunensional scale of final objective having a medium relative aperture, wherein the smaller relative aperture of the third member either permits its complexity to be reis irrested similarly. To and To are dealt with in similar 30 duced or, more usefully, its existing complexity itsliced to achieve an extremely high standard of sherration correction. Corresponding but slightly different officets can be seen from the modifications of hier 7 to 9.

In general therefore, it can readily be seen from the at least two of the others have been adjusted, in the ta- 35 table how the parameters of the main claim can be taken to their end hauts to provide differing effects suited to differing initial requirements. The lens de-

	84	84	3°9	35	0	- 83	FA	Pai	80
Stanger I	1.47	- E.S:	1.10	0.65	2.07	2,81	5.50	1.0	2.3
and 0 KR:	-1.6	w. 5. 85	3. 33	6.35	2 25	2 36	5.34	33.902	2. 15
and the season of the season o	~7.6	41.81	\$ 60	A 20	4.35	212	1.58	: 35	3.8
- 6 6666	143 45	2. 22.	2.46	0.6%	3. 53	2.82	1.40	1.00	2.75
Partial States	w1 67	02.80	26	0.17	5.00	7, 50	1. 76	0.08	3, 4
Cald (7.45 g)		4 A. W.	1. 14	2.20	3 50	2, 82	1. 84	12.595	2.75
Factor 4. 4 Pag.	~7.0	- 1 24	\$ 16	4.14	2.35	2.87	8.38	1.15	1.28
Contact to See	-1.6		3.37	98 6	3.24	2,60	1.46	62.775	2.79
Cont. T.; Ph. Chief	** C C	**1.46	2.52	2.3	2.53	8.60	. 80	1.45	1. 3

Example I is a zoom lens intended for construction to a medium dunensional scale to cover average format dimensions

Is line I, the effect of putting Fa to its lower hand is to reduce L and D. Fay, Fay and Faz am also reduced, concesse that each individual member has a wider relative merture. Secrese of their wider relative apertures. tain more usable thick lens parameters) than they are so Stramole I in order to achieve the same high standard of abercation correction. However, this greater complexity would be acceptable for a zoom objective formut dintensions. Such a small scale construction would residily be possible in view of the reductions in t, and D. Therefore, a zoom bins within the scope of the main claim, with F. at or near its lower limit, would be nectoried for a lens of wider relative sperture but constructed to a smaller dimensional scale than Example signer given the main claim and having a particular and requirement can work accordingly.

The table also demonstrates the sense of the end limits. For example, to take Fa below the value of 1 0(48) in line I would be further to decrease L and D and further widen the relative apertures of the arcoad, third and fourth members. Obviously a question of opinion these members would have to be more complex (con- 55 is involved at thin point, but the opinion of the inventor is that the complexity of construction for the second to fourth membars, in order to achieve good aberration correction at the further widesed relative operture. would rouder a practical construction a nonbuilt to a small dimensional scale divoring small image 60 commercial proposition. Likewise to take F. beyond the value of 2.0(8R) in tine 3 would only permit construction of a practical corrected objective to such a large dimensional scale that it would find no useful apglication. The same factors also arise in the modifications of lines 6 to 5, when coupled with the requirement in maintain a large range of variation of focal length. which is an essential object of the invention-

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6.84653	3.20943	82864	3 16223	3.5
6 38857	0 477269	1.46352	5 6 2339	3.75
7.41774	0.43852	6 42532	15.00000	10

Equation for exphesic surface Res

1 = + 3 9463 - 115.17523 - pl + 0.00427020 --0.00777096 y\* + 0.00721693 y\*\*

is all there examples, the maximum value  $F_{\mathbf{x}}$  of the  $^{10}$ equivalent (ocal length P of the objective is ten times the stigenum value F, thereof Example I is corrected for a relative aperture //4.0, whilst Examples II and III are each corrected for a relative aperture //2.8, and 6xample 1V is corrected for a relative aperture of fil.6. Examples II and III differ from one another solely in the stationary rear member La, the front three members L., Ly and Ly being identical in the two examples. Such members La, La and Le are in fact similar to the front 20 three members La, La and La of Example 1, the dimenseems being scaled up from those of fixample I in the ratio of the J-numbers, that is in the ratio of 4.0/2.8. The rear members i.a in Examples it and ill are, however, not scaled up versions of the year member £4 of 25 Example I. The front three members Ls, Ls, Lc of Example IV, which includes yet another alternative construction of rear member Lb, are of the same general type as those of Examples 1-111, but their numerical dimensions differ somewhat from a ver- 39 sion of those of Example I scaled up in the ratio

All these examples cover a seru-angular field of view

varying from 27 degrees at P., to 2.7 degrees at P., The iris disphragm in all four examples is stationary 35 and a located between the movable third member Leand the statement rear member Lin in Example I the disphrages is 9 0625 F, in front of the surface R., and has diameter 0.8568 P., in Example II the disphragm is 0 0929 F, in fenot of the surface Riy and has diameter 40 1.2240 F., is Example III the disphragm is 0.1375 F. in from of the surface Ry, and has diameter 1.2240 F.; and in Example IV the dispuragor is 0.2407 P. in front of the surface Ri, and has diameter 2 1446 P.

The back focal distance from the rear surface of the 45 Objective to the image plane is 2.8361 F, in Example I, 2.4761 F. in Example II, 2.3027 F. in Example III and 7.7878 F. in Example IV.

The equivalent focal length f, of the stationary litst member L. is + 4.4551 F. in Example 1, + 6.3644 F. in Examples If and III and + 11.1415 F, in Example IV; the equivalent focal length fa of the movable second member L. is - 1 4703 F. in Example 1, - 2 1004 F. in Examples II and III and - 3.6770 F, in Example IV; the equivalent fincal length /c of the movable third member L. is - 1.8176 F. in Example 1, - 2.5966 F. in Examples II and III and - 4.5458 F., in Example IV; and the equivalent focal length for of the stationary foirth member L. is + 1.4753 F, in Sample 1. + + 4,0410 F, in Example IV; the possive and negative signs respectively indicating convergence and diver-201100

in all four examples, the convergent stationary front 65 member L. consists of a menucus doublet component followed by two convergent simple components. The from surface R, of the doublet component is concave to the front and has dispersive optical power numeri-

cally equal to 0.155/P, or 9.692//, in Example 1, to 0.109/Fs or 0.692/fs in Examples & and Bl, and to 0.062/F, or 0.692/f, in Example IV. The internal contact Re of the doublet component is dispersive and con-5 yex to the front and has radius of ourvature equal to 2.037 f, in all four examples. The difference between the mean refractive indices of the materials of the two elements of such doublet component is 0,27 in all four examples.

The combined equivalent (ocal length of the two signple components of the first member L4 is 4.0013 Pa in Example I, 5 7162 P, in Examples II and III, and 10,0064 P. in Exemple IV or 0 8981 f. in all four examples. The radius of curvature R, of the front surface of the first of such simple components is 2.551 f. in all four exemples, and the radius of curvature R, of the front surface of the second of such simple components is 0.580 f. in all four examples. The rear surface Ry of such second simple component is convex to the front with radius of curvature 3.852 f. in all lour examples. The axial thickness (D, + D,) of the meniscus dou-

blet component of the first member L4 is 0.786 Fa in Example 1, 1,094 F., in Examples II and III, and 1,916 F. in Example IV, or 0.172 fa in all four examples. The sum of the axial thicknesses of the two simple components (D<sub>4</sub> + D<sub>4</sub>) of the first member is 0.553 F, in Example 1, 0,790 F. in Examples II and III, and 1,383 F. in Example IV. or 0.124 /, in all four examples.

The arithmetic mean between the Abbe V mimbers of the materials of the three convergent elements of the first member L. in all four examples is 50 72 and thus exceeds the Abbe V onmber of the material of the divergent front element by 24 62

The maximum value of the ratio of the aquivalent focal length of the objective to the f-number of the ohtextive is 2.5 F. in Example 1, 3.57 F. in Examples ft and Ill, and 8.25 F, in Example IV, so thus in all four examples fa is 1.782 times such maximum value.

in all four examples, the minimum separation between the recyclile second and third members La and the occurs when the equivalent focal length of the objective is 7.45 P., and the numerical values of the equivalent focal lengths for and for of such members are respectively 5.88 and 7.27 times the manusum value of the ratio of the equivalent focal length of the objective to the foumber of the objective

The stovable second member La is all four examples consists of a divergent simple mentious component with its surfaces convex to the front followed by a divergens triplet component having a convergent element between two divergent elements, and its intal axial movement (a amdirectional rearward movement) in the range of variation is numerically equal to 1.994 fa-The front and rear surfaces R, and R, of the simple meniscus component of such member respectively have radii of curvature numerically equal to 1 89 fs and 0.83 fa in all four examples, whilst the front and rear sur-2.1286 F, in Example 8, + 2.3232 F, in Example 11 and have radii of curvature numerically equel to 1.86 f. in Examples I - III and 1.87 fs in Example iv and to 3.93 Is in Examples 1-111 and 3.99 is in Example IV.

The movable third member Le in all four examples consists of a doublet component, whose front surface Ris is concave to the front with radius of curvature numerically equal to  $0.72 f_C$ , and the total axisl movement (the numerical sum of an initial forward movement

pier a subsequent rearward movement) of such memhar is manarically equal to 0.363 fc. The internal contaes Res of such doubles component is collective and convex to the frost, with radius of curvature numeriupile equal to 0.72 f. The difference between the mean 3 refractive indices of the materials of such doublet compostent is 6.087 in Examples I - III and 0.088 in Example IV, the difference between their Abbe V numbers bring 30.09 in Examples I - III and 30.24 in Example

to all four examples, the various aberrations are well realthized is the front three members La, La, Lo throughout the rease of variation of socivairnt focal length of the objective and also throughout the focussing range, and the stationary rear member Lo serves to 3 balance out such residual stabilized aberrations, and siso to locate the resultant image plane in a convenient gosition. The construction of such test member may thus vary widely

In Examples I and II, such rear member may be de- 20 scribed as of modified Cooke triplet construction, wherein the strong convergent power needed at the front to deal with the relatively widely divergent beam received from the tinsd member is achieved by the use of three simple convergent components, which are fol- 2" fowerd by a simple divergent component and nither a convergent doubles component as in Example 1 or a convergent doublet component followed by a convergent simple component as in Example B. in these two examples an aspheric surface is used in order to assist 30 in balancing out the residual stabilized aberrations of the front three members without under increase in the overall length of the objective, such aspheric surface being the front surface Ra of the simple divergent component, where it can be employed for the simultaneous correction of spherical abecrasion and come with minimum effect on oblique aberrations

in Example III, a somewhat different type of stationally rear member is used, which may be described as of modified Petaval construction, In this case, six simple components are used, the first three again being convergons in order to give the necessary strong convergent power at the front, whilst the next two are divergent and the sixth is convergent. Abhough so aspharic surface is used in the actual example given, some further improvement in abstration correction could be schioved by incorporating such a surface

Yes another alternative construction for the stationsisting of seven simple components, the first three and the last two being convergent, and the fourth and fifth divergent. An aspheric surface is again used, in this case the from surface Res of the rearmest component.

ranges of variation of the equivalent local length of the objective, and with the objective according to the present invention this can be carried out in a simple way by the provision of an aphromaus doubles component, which can be piscen as will behind the mationary rear 60 member Ls of the oniccive, such doublet component, when in position, acting to move the resultant mage plane further from the ceur surface of the member La and to increase the values of the equivalent focal length of the objective in the same proportion throughout the as range. Another effect of the addition of this doublet component is to reduce the relative aperture of the onsective and the angular field covered. Numerical data

are given below of two alternative examples of acincomatic doubles compensati suited to follow the rear member Ly of Example I above. PKIS. 5 and 6 mapectively show these two examples of doublet component L. in position behind the main objective, which for simplicity is shown only in skeleron form, the frost and rear surfaces only being shown for each of the four members L<sub>4</sub>, L<sub>6</sub>, L<sub>c</sub> and L<sub>B</sub> of the objective.

PYANDLE V

	***************************************				
	Notice	Tuckees or an sense strong	Serticulture suger no	Azes V number	Class organisation
3	811470 Enro-27660 nuoce26668	Spanists Laped 4781 Laped 4640	1,790% 1,404%	%, S2	R <sub>e</sub> 0.7810 R <sub>e</sub> 0.7812 R <sub>e</sub> 0.7812

EXAMPLE VI

	lexatur	Tritchions or ser promotion	Refractive lades no		Cless
100	10> 10> 10> 10>	7 <sub>11</sub> × 0.17898 75 <sub>11</sub> × 0.0788 7 <sub>511</sub> × 0.00398	i 79038 3 80043	30 /s 40 (c)	Ro 64769 Ro 64769 Ro 64749

The dimensious in these two examples of achromatic doublet component are given in terms of the minimum value P, of the comvalent focal length for the objective of Example I fo each table &, represents the sw sepacation between the rear surface Ras of the stationary cear member Le of Example I and the frost surface Base of the added doublet component. The doublet componear in each case consists of a convergent clement in from of a divergent element.

The added doublet component Ly of Example V increases the values of the equivalent focal length in the ratio 3:2, so that the normal range from F, to 10 F, is altered by the doubles component into a range from 1.5 F, to I/F, The doublet component of Example VI nots to double the values of the equivalent focul length of Example I, thus giving a range from I.P. to 25 F, when the doublet component is in position.

The back focal distance from the rear suctace RM of the added doublet component Ly to the new position of the resultant image plane is 3 704 F, in Example V ary rear member La is employed in Example IV, con- 50 and 4.028 F., in Example VI. The relative aperture of the objective is changed from /4 ft by the addition of the doublet component to #6 0 in Example V and #8.0 in Example VI. The semi-angular field, which for Example I alone varies from 27 degrees at P. to 2.7 de-It is often desired in practice to provide two different se gross at Fm. varies (when the doubles component of Example V is added) from 18 degrees at 1.3 F, to 1.8 degenes at 15 Fm and (when the doublet component of Example Vi is added) from 13.5 degrees at 2 P, to 1.35 degrees at 26 Pa-

It will be coalized that the addition of only an activamake doublet component to an stretch well-corrected objective must ancessarily result in a lower standard of atterration correction when the doublet component is in place. But the increased equivalent focal length and reduced relative aperture and angular field do not call for so high a standard of correction as is needed when the objective is used alone, and for many practical perposes the standard of correction obtained with the donblet component added is edequate.

The necessary axial movement of the second and third members may be brought about in various ways. for example by means of two appropriately shaped cams, which may be in the form of cam grooves B and 3 if on the many tistiace of a tubular member C rosused by the most control element G and surrounding the second and third mumbers M and H, which are held against rotation relatively to the fixed casing F of the ber P may be effected under the constel of a focusting control element O by mounting the front member in screw threaded engagement with the fixed casing F of the objective

It will be appreciated that the foregoing examples 15 have been given by way of example only and that the rivention can be carried into practice in other ways.

#### We claim

- An optical elective of the 200m type (that is of the type having relatively movable members whereby the equivalent focal length of the objective can be son-Unbously earled throughout a range, whilst maintaining constant pasition of the image plane), corrected for spherical and chromatic aberrations, coma, astigmatism, field curvature and distortion, said objective having a maximum equivalent focal length as least 6 times its minimum focal trusts, and comprising a convergent has member which for a given object distance remains stationary during the zooming relative movements, an 36 axially mayable divergent second member behind the first member having againstent focal length fallying oumarically between 4 and 8 times the minimum value of the ratio of the equivalent (next length of the complete objective to the f-number of the objective in the range as of variation, an axially movable divergent third member behind the second member having equivalent focul longth /- lying numerically between 5 and 10 times the minum value of such ratio, a stationary convergent fourth member behind the shird member, a zoom con- 40 itol element, and means whereby operation of the zoom control element causes the zooming relative movements to be effected, wherein the total axial moreowent of the second member in the range of variation lies numerically between 1.5/2 and 2.5/2 and the 45 used axial movement of the third member in the range iles numerically between 0.25fr and 0.5fc, the minimem axial separation between the second and third member occurring when the equivalent focal length of the objective is greater than half its maximum value in 50 the range of variation, the movable divergent second member consisting of a divergent simple maniscus component with its surfaces convex to the front and a divergent compound component behind such simple component, and the movable divergent third mamber 55 consisting of a doublet component having its front surface comeave to the front.
- 2. An ontical objective as claimed in claim 1, in which the compound component is the divergent movable secting member includes at least one conversest element and at least one divergent element made of materials of differing Abbe V numbers
- 3. An optical objective as claimed in claim 2, in which the from surface of the compound component of 65 the second member is concave to the front and the rear surface of such component is convex to the front.
  - 4. As optical objective as claimed in claim 3, in

- which the compound component of the second member consists of a triplet component having a convergent element between two divergent elements.
- 5. An optical objective as claimed in claim 4, in which the doublet component constituting the third member has a coffective internal contact convex to the front.
- 6. An optical objective as claimed in claim 2, in which the front surface of the compound commonent of objective. The focusing movement of the front mem. 10 the second member is concave to the front and the rear surface of such component is convex to the front.
  - 7. An optical objective as claimed to claim 2, in which the doublet component constituting the third member has a collective internal contact convex to the front, and the materials of the two elements of such component having differing Abbe V numbers and diffeeing mean refractive indices.
  - 8. As optical objective as elabored to claim 1, in which the from surface of the compound component of the second member is concave to the front and the rear surface of such component is convex to the front
  - 9. An optical objective as classed in claim 8, in which the compound component of the second meanber consists of a triplet component having a convergent element between two divergent elements, the muserals of all the elements of the second member having movin refractive indices greater than 1.69 and being such that the prithmetic mean between the Abbe V numbers of the materials of the divergent elements exceeds that of the convergent element
  - 10. An optical obsecuve as claimed in claim 9, including an aebromatic doublet which can be placed as will behind the stationary rear member of the objective and acts when in its operative position to increase the values of the cautystem focal length of the objective by a chosen ratio throughout the range of variation.
  - 11. As optical objective as claimed in claim 1, in which the compound component of the second resmber consists of a triplet component having a convergent slement between two divergent elements
  - 12. An optioni objective as claimed in claim 11, in which the doublet component consuluting the third mambes has a collective internal contact convex to the front with radius of curvature substantially equal to 0.72fc, the materials of the two elements of such comgonest having Abbe V numbers which differ by about 30 and mean refractive indices which are each greater than 1.69 and differ by about 0.09.
  - 13. An optical objective as claimed in claim 1, in which the doublet component constituting the divergent movable third member has a collective internal contact convex to the front with radius of correcture substantially equal to 0.72fc, the difference between the mean refractive indices of the materials of the two elements of such component being about 6.69, while the difference between the Abbe V numbers of such materials is about 30.
  - 14. As ontical objective is claimed in claim 13, inciuding an actiromatic doublet which can be placed at will behind the stationary rear member of the objective and acts when in its operative position to increase the values of the equivalent focal length of the objective by a chosen ratio throughout the range of variation.
  - 15. As optical objective of the zoom type (that is of the type having relatively movable members whereby the equivalent focal length of the objective can be contiquously varied throughout a range, whilst maintaining

constant position of the image plane), corrected for spherical and chromatic aberrations, consa, assignsuses. Seld curvature and distortion, and comprising a convergent first member which for a given object disrance remains stationary during the zooming relative 5 movements, as axially executive divergent second memher behind the first member having equivalent focal length / lying numerically between 4 and 8 times the estellmus value of the rario of the equivalent for al isagih of the complete objective to the faumber of the 10 the radius of curvature of the from surface of the first objective in the range of variation, an axially movable divergent third member behind the second member having equivalent focal length & lying numerically between 5 and 10 times the minimum value of such ratio. a stationary convergent fourth member behind the 13 fount. third member, a zoom control element, and means whereby specifion of the zoom control causes the sooming relative movements to be effected, wherein the total axial provement of the second member in the range of variation lies numerically between 1  $Sf_8$  and 20 2.3% and the total axial movement of the third member in the range lies numerically between 0.25fe and 0.5fc, the minimum axial separation between the record and third members occurring when the equivalent focal value in the range of variation, the movable divergent second member consisting of a divergent simple memscus component with its serfacus convex to the front and 3 divergent compound component behind such simple liking of a doublet component baving its front surface concave to the front, and the first member of the objective comprises a meniscun doubles component having a front surface which is concave to the front and two doublet component

16. An ontical objective as claimed as claim 15, in which the internal contact of the measures drublet component of the first member is dispersive and convex us the front.

17. An optical objective as claimed in claim 16, in which the compound component in the divergent movable second member includes at least one conversant slement and at least one divergent element, and the doublet component constituting the third member has a collective internal contact convex to the front.

18. An optical objective as claimed in claim 15, in which the two simple components of the first mumber together have their front sirriaces convex to the front. of such simple components being greater than twice the radius of curvature of the front surface of the second of such sample components, the rear surface of the secand of the two simple components being convex to the

19. An optical objective as claimed is claim 15, in which the axial thickness of the meniscus doublet component of the first member is is greater than the sam of the axial thicknesses of the two snapir components of the first member.

28. As optical objective as claimed in claim 19, inchiding an achromatic doublet which can be placed at will behind the stationary rear member of the objective. length of the objective is greater than helf its maximum 25 and acts when in its operative position to increase the values of the equivalent focal length of the objective by a chosen ratio throughout the range of variation.

21. An optical objective as claimed in claim 15, including an achromatic doublet which can be placed at component, the movable divergent third member canand acts when in its operative position to increase the values of the equivalent fucal length of the objective by a chosen ratio throughout the range of variation

22. An optical objective as claimed in claim 21, in simple convergent components behind such meniscus 35 which the internal contact of the meniscus doublet component of the first member is dispersive and convex to the from with radius of curvature substantially enual to 2.64fg, the difference between the mean refractive indices of the materials of the two elements of 40 the doublet being substantially 0.27.

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## UNITED STATES PATENT OFFICE CERTIFICATE OF CORRECTION

Patent No. 3,736,048 Dated May 29, 1973 GORDON HENRY COOK and PETER ARNOLD MERICOLD Inventor(s) It is certified that error appears in the above-identified patent and that said Letrors Patent one heraby corrected as shown below: [73] Assignes: The Rank Organisation Limited Lordon, England [30] Foreign Application Priority Data Sept. 14, 1962 Great Britain.......35088 Signed and scaled this 27th day of November 1973. (SEAL) Attest: EDWARD M.FLETCHER, JR. RENE D. TEGTMEYER Acting Commissioner of Patents

Attesting Officer